

Paul B. Uhlenhop

Partner

P: 312.372.1947 F: 312.372.2389 E: puhlenhop@LKSU.com

Paul B. Uhlenhop is a member of the firm's extensive securities, commodities and financial service practice, which he formerly headed.

In Paul's distinguished career, he has worked on major initiatives in the financial services industry from compliance, litigation and business perspectives. Through his varied work, Paul has advised and mentored members of industry, government and regulatory bodies, in Chicago and nationwide.

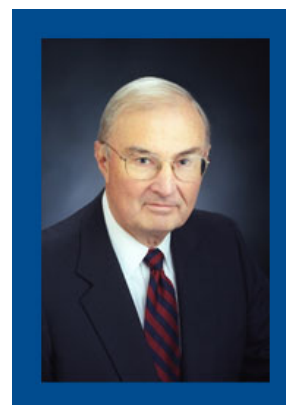
Since 1962, when he joined the Securities and Exchange Commission in Washington, D.C., Paul's practice has focused on major developments in financial services. In 1971, he came to Lawrence Kamin, and since then has lent his expertise in assisting our clients. Today, Paul is regarded as one of the leading authorities of the futures and securities bar. His clients have included multibillion-dollar, integrated international entities, as well as single-employee broker-dealers, and he has handled matters ranging from organization of a simple brokerage to crisis management for major firms and industry members. Paul is a recognized authority on financial reporting, including complex net capital issues.

Paul's experience in working with both local and national regulators is practically unparalleled in Chicago. He has taught introductory and graduate-level courses on securities and futures regulation and enforcement law at both the University of Illinois College of Law and the IIT Kent School of Law. Many of his former students now practice in Chicago and nationwide in law firms and with regulatory authorities and exchanges. He has served as a member of the Planning Committee of the Ray Garrett Jr. Corporate and Securities Institute at Northwestern University College of Law for many years, and continues as a frequent panelist and panel chair at securities, futures and derivatives conferences, and regularly presents at programs sponsored by the American Bar Association, the Futures Industry Association, the National Society of Compliance Professionals, the National Regulatory Service and the Chicago Bar Association.

Paul has been a member of the Board of Editors of Futures & Derivatives Law since 2001 and has been a member of the Editorial Board of Practical Compliance & Risk Management for the Securities Industry since its commencement.

Paul is the author of numerous articles and papers, a partial list of which is at www.lksu.com/articlespresentations.html.

Paul is actively involved in various community service groups, including having chaired the board of directors of The Cradle Foundation, one of the nation's largest adoption services agencies, and currently serves on the board of directors of the Ravinia Festival. A former Navy officer, Paul remains an avid sailor. Paul and his wife, Virginia, reside in Wilmette, Illinois.



Practice Areas

Futures & Derivatives
Securities

Experience

Lawrence, Kamin, Saunders & Uhlenhop LLC
Partner: 1971-Present

Securities & Exchange Commission,
Washington, D.C.
Enforcement Division, 1962-1963

Education

University of Illinois College of Law, J.D., 1961

University of Illinois, Urbana-Champaign,
B.A., with honors, 1958

Bar Admissions

State of Illinois
State of New York

Professional Memberships

& Affiliations

American Bar Association
Business Law, Antitrust Law, Litigation,
and International Law Sections
Securities Law Committee
Futures Law Committee
Broker-Dealer Subcommittee
Chairman of Futures Litigation
Subcommittee, 1998-2004
New York State Bar Association
Illinois Bar Association
Securities Law Committee
Antitrust Committee
Chicago Bar Association
Securities Law Committee, Chairman
1977-1978
Futures Law Committee, Chairman
1999-2000
Antitrust Law Committee
Broker-Dealer Subcommittee, Chairman
1973-1977, 1982-1996
House Committee, 1980-1990
Continuing Legal Education Committee,
1989-1990

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Professional Memberships & Affiliations (continued)

National Society of Compliance Professionals

Board member, 2002-2005

University of Illinois College of Law

Board of Visitors, 1981-1988

President of Board of Visitors, 1985-1987

Futures and Derivatives Law Reporter

Board of Editors, 2001-Present

Practical Compliance & Risk Management
for the Securities Industry

Board of Editors, 2009-present

Global Markets Journal

Board of Editors, 2000-2004

Civic Organizations

Ravinia Festival Association

Board Member, 1991-1999, 2000-Present

The Cradle Foundation

Board of Trustees, 1985-Present

Chairman, 2000-2004

Vice Chairman, 1994-2000

Secretary, 1989-1995

Wilmette Harbor Association

Special Counsel to the Board, 1984-2004

President, 1981-1982

Board Trustee, 1976-1984

